

Practitioner's Docket No.: S3-02P20581

10/538406

CHAPTER II

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MICHAEL BURNS

**TRANSMITTAL LETTER
TO THE UNITED STATES ELECTED OFFICE (EO/US)
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)**

INTERNATIONAL APPLICATION NO.
PCT/EP03/13096

INTERNATIONAL FILING DATE
November 21, 2003

PRIORITY DATE
December 19, 2002

IMAGE-GENERATION DEVICE, IN PARTICULAR FOR INSTALLATION IN THE ROOF AREA OR EXTERIOR REARVIEW MIRROR OF A MOTOR VEHICLE

IMAGE-GENERATION DEVICE, IN PARTICULAR FOR INSTALLATION IN THE ROOF AREA OR EXTERIOR REARVIEW MIRROR OF A MOTOR VEHICLE

APPLICANT
BELAU, Horst

**Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
ATTENTION: EO/US**

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
 - a. ☒ This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 - b. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

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2. Fees

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
[]*	TOTAL CLAIMS	21 - 20 =	1	x \$ 50.00	\$ 50.00
	INDEPENDENT CLAIMS	1 - 3 =	0	x \$ 200.00 =	\$ 0.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$ 0.00
The following fees are submitted**	(a) Basic national fee			\$300.00	\$ 300.00
	(b) Examination fee			\$200.00	\$ 200.00
	(c) Search fee			\$500.00	\$ 500.00
	Total of Above Calculations				= \$ 1,050.00
SMALL ENTITY	Reduction by ½ for filing by small entity, if applicable. Affidavit must be filed. (note 37 CFR 1.9, 1.27, 1.28)				- \$ 0.00
	Subtotal				\$ 1,050.00
	Total National Fee				\$ 1,050.00
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$ 0.00
	Fee for recording the enclosed assignment document \$40.00 (37 CFR 1.21(h)). (See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				\$ 0.00
TOTAL	Total Fees enclosed				\$1,050.00

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*See attached Preliminary Amendment Reducing the Number of Claims.

- i. ☒ Payment in the amount of \$1,050.00 to cover the above fees is enclosed.
 - ii. ☒ Please charge Account No. 12-1099 for any fees which might be due while this application is pending.
A duplicate copy of this sheet is enclosed.
3. ☒ A copy of the International application as filed (35 U.S.C. 371(c)(2)):
- a. ☒ is transmitted herewith.
 - b. ☐ is not required, as the application was filed with the United States Receiving Office.
 - c. ☐ has been transmitted
 - i. ☐ by the International Bureau.
Date of mailing of the application (from form PCT/IB/308): _____.
 - ii. ☐ by applicant on _____.
Date
4. ☒ A translation of the International application into the English language (35 U.S.C. 371(c)(2)):
- a. ☒ is transmitted herewith.
 - b. ☐ is not required as the application was filed in English.
 - c. ☐ was previously transmitted by applicant on _____.
Date
 - d. ☐ will follow.
5. ☐ Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3)):
- a. ☐ are transmitted herewith.
 - b. ☐ have been transmitted
 - i. ☐ by the International Bureau.
Date of mailing of the amendment (from form PCT/IB/308): _____.
 - ii. ☐ by applicant on _____.
Date
 - c. ☐ have not been transmitted as
 - i. ☐ applicant chose not to make amendments under PCT Article 19.
Date of mailing of Search Report (from form PCT/ISA/210): _____.
 - ii. ☐ the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1.
6. ☐ A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. 371(c)(3)):
- a. ☐ is transmitted herewith.
 - b. ☐ is not required as the amendments were made in the English language.
 - c. ☐ has not been transmitted for reasons indicated at point 5(c) above.

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7. ☒ A copy of the international examination report (PCT/IPEA/409)
☒ is transmitted herewith.
☐ is not required as the application was filed with the United States Receiving Office.
8. ☐ Annex(es) to the international preliminary examination report
a. ☐ is/are transmitted herewith.
b. ☐ is/are not required as the application was filed with the United States Receiving Office.
9. ☐ A translation of the annexes to the international preliminary examination report
a. ☐ is transmitted herewith.
b. ☐ is not required as the annexes are in the English language.
10. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)) complying with 35 U.S.C. 115
a. ☐ was previously submitted by applicant on _____.
Date
b. ☒ is submitted herewith, and such oath or declaration
i. ☒ is attached to the application.
ii. ☐ identifies the application and any amendments under PCT Article 19 that were transmitted as stated in points 3(b) or 3(c) and 5(b); and states that they were reviewed by the inventor as required by 37 C.F.R. 1.70.
iii. ☐ will follow.

Other document(s) or information included:

11. ☒ An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a):
a. ☒ is transmitted herewith.
b. ☐ has been transmitted by the International Bureau.
Date of mailing (from form PCT/IB/308): _____.
c. ☐ is not required, as the application was searched by the United States International Searching Authority.
d. ☐ will be transmitted promptly upon request.
e. ☐ has been submitted by applicant on _____.
Date
12. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98:
a. ☒ is transmitted herewith.
Also transmitted herewith is/are:
☐ Form PTO-1449 (PTO/SB/08A and 08B).
☐ Copies of citations listed.
b. ☐ will be transmitted within THREE MONTHS of the date of submission of requirements under 35 U.S.C. 371(c).
c. ☐ was previously submitted by applicant on _____.
Date

13. ☐ An assignment document is transmitted herewith for recording.

A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT)
ACCOMPANYING NEW PATENT
APPLICATION" or ☐ FORM PTO 1595 is also
attached.

14. ☒ Additional documents:
- a. ☐ Copy of request (PCT/RO/101)
 - b. ☒ International Publication No. WO 2004/057857 A1
 - i. ☒ Specification, claims and drawing
 - ii. ☐ Front page only
 - c. ☒ Preliminary amendment (37 C.F.R. § 1.121)
 - d. ☒ Other

Application Data Sheet

15. ☒ The above checked items are being transmitted
- a. ☒ before 30 months from any claimed priority date.
 - b. ☐ after 30 months.



SIGNATURE OF PRACTITIONER

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